EXHIBIT 6

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UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

UNIVERSITAS EDUCATION, LLC,

Plaintiff,

Civil Action No.

-against-

11-1590-LTS-HBP

NOVA GROUP, INC., as Trustee,
Sponsor and Fiduciary of the
CHARTER OAK TRUST WELFARE
BENEFIT PLAN,

Defendant	•	
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January 10, 2013

9:14 a.m.

Deposition of RICHARD ORDER, taken by
Plaintiff, pursuant to Subpoena, at the offices
of Boies, Schiller & Flexner, LLP, 333 Main
Street, Armonk, New York, before SUZANNE PASTOR,
a Shorthand Reporter and Notary Public within
and for the State of New York.

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- 1 Q. Well, did Mr. Trudeau ever pay
- 2 Updike Kelly in legal fees?
- A. No. I don't think he did.
- Q. Did Mr. Carpenter in his individual
- 5 capacity ever pay Updike Kelly any legal fees in
- 6 connection with the Universitas arbitration?
- 7 A. I doubt it.
- Q. What about Wayne Bursey?
- 9 A. I don't think so.
- Q. Why do you doubt that Mr. Carpenter
- 11 paid legal fees out of his own pocket in
- 12 connection with the Universitas arbitration?
- A. Because I don't think he has a
- 14 checking account.
- Q. Was the answer I don't think he has
- 16 a checking account?
- 17 A. That was the answer.
- Q. So when he sued -- you'll agree
- 19 with me he's been sued dozens of times, correct?
- A. I don't know if it's dozens, but a
- 21 lot, yes.
- Q. A lot. So when he retains counsel
- 23 to represent him, do you have any notion as to
- 24 how he pays his personal legal fees?
- 25 A. Well, first, when he retains --

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- 1 your question is when he retains counsel. So I
- 2 don't know what he does with other law firms.
- 3 When he retains me -- when I've been retained to
- 4 represent him, it's usually in conjunction with
- 5 other defendants that I'm also representing.
- 6 And they are the ones that foot the bill.
- 7 Q. So he arranges for -- when he gets
- 8 sued individually, at least when you've
- 9 represented him, he arranges for other entities
- 10 to pay his legal bills.
- 11 A. I don't know who has the
- 12 arrangement. All I know is that I don't believe
- 13 he has ever sent me a check showing the account
- 14 in the name of Daniel Carpenter.
- Q. Thank you.
- Do you know if at any point in time
- 17 Nova Group, Inc. maintained any insurance?
- 18 A. No.
- 19 Q. You don't know?
- 20 A. I do not know.
- Q. Now, if you look at what we've
- 22 marked as Exhibit 1, the engagement letter,
- 23 paragraph 13 talks about the retention of
- 24 documents. And the first sentence states, "Upon
- 25 the closing of this matter, you may request that

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- 1 any original documents in the file be returned
- 2 to you." Do you see that?
- 3 A. I do.
- Q. Do you consider the Universitas
- 5 arbitration matter to be closed?
- A. It's closed in the sense that we
- 7 haven't done any work for them in the past two
- 8 and a half years.
- 9 Q. Have your clients --
- 10 A. Or two years or so.
- 11 Q. Have your clients in the
- 12 arbitration requested that any documents be
- 13 returned to them?
- 14 A. No.
- 15 Q. Have they requested that any
- 16 documents be copied and sent to them?
- A. After our involvement?
- Q. At any point in time.
- 19 A. Well, at any point in time, in the
- 20 regular course I would have given them copies of
- 21 documents that we filed, documents that we
- 22 received, orders, awards, things of that sort I
- 23 obviously sent along to them. So I don't recall
- 24 after our involvement ended being requested to
- 25 search for a particular document and send it to

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- 1 them. It may have happened, I just don't
- 2 recall.
- Q. What is your understanding as to
- 4 why Mr. Daniel Carpenter doesn't maintain any
- 5 personal checking accounts?
- 6 A. That's the way he is. I don't
- 7 know.
- Q. Did that ever give you any concern
- 9 when you were representing the Charter Oak Trust
- 10 and its trustee Nova Group, Inc.?
- 11 A. No.
- 12 Q. You had represented him in the
- 13 Cahaly suit, right?
- 14 A. Yes.
- Q. And the accusations there were that
- 16 he misused money that was in an escrow or
- 17 escrow-like account, right?
- 18 A. That's what the allegations were.
- 19 Q. And it never concerned you when you
- 20 started your representation of the Charter Oak
- 21 Trust that day 1 you went in and you said
- 22 where's the \$30 million and no one wanted to
- 23 tell you where it was?
- A. Well, I'm not sure whether by the
- 25 juxtaposition of your questions you're